

# Introduction to Cryptology

## Lecture 5

# Announcements

- HW1 due today
- HW2 up on course webpage, due Thursday 2/15
- Readings/quizzes on Canvas due Tuesday 2/13

# Agenda

- Last time:
  - Definition of info-theoretic security (K/L 2.1)
  - Equivalent def's and proofs of equivalence (K/L 2.1)
- This time:
  - Go over class exercise from 2/6
  - One time pad (OTP) (K/L 2.2)
  - Limitations of perfect secrecy (K/L 2.3)

# The One-Time Pad (Vernam's Cipher)

- In 1917, Vernam patented a cipher now called the one-time pad that obtains perfect secrecy.
- There was no proof of this fact at the time.
- 25 years later, Shannon introduced the notion of perfect secrecy and demonstrated that the one-time pad achieves this level of security.

# The One-Time Pad Scheme

1. Fix an integer  $\ell > 0$ . Then the message space  $M$ , key space  $K$ , and ciphertext space  $C$  are all equal to  $\{0,1\}^\ell$ .
2. The key-generation algorithm  $Gen$  works by choosing a string from  $K = \{0,1\}^\ell$  according to the uniform distribution.
3. Encryption  $Enc$  works as follows: given a key  $k \in \{0,1\}^\ell$ , and a message  $m \in \{0,1\}^\ell$ , output  $c := k \oplus m$ .
4. Decryption  $Dec$  works as follows: given a key  $k \in \{0,1\}^\ell$ , and a ciphertext  $c \in \{0,1\}^\ell$ , output  $m := k \oplus c$ .

# Security of OTP

Theorem: The one-time pad encryption scheme is perfectly secure.

# Proof

Proof: Fix some distribution over  $M$  and fix an arbitrary  $m \in M$  and  $c \in C$ . For one-time pad:

$$\begin{aligned}\Pr[C = c \mid M = m] &= \Pr[M \oplus K = c \mid M = m] \\ &= \Pr[m \oplus K = c] = \Pr[K = m \oplus c] = \frac{1}{2^\ell}\end{aligned}$$

Since this holds for all distributions and all  $m$ , we have that for every probability distribution over  $M$ , every  $m_0, m_1 \in M$  and every  $c \in C$

$$\Pr[C = c \mid M = m_0] = \frac{1}{2^\ell} = \Pr[C = c \mid M = m_1]$$

# Drawbacks of OTP

- Key length is the same as the message length.
  - For every bit communicated over a public channel, a bit must be shared privately.
  - We will see this is not just a problem with the OTP scheme, but an **inherent** problem in perfectly secret encryption schemes.
- Key can only be used once.
  - You will see in the homework that this is also an **inherent** problem.



# Limitations of Perfect Secrecy

Theorem: Let  $(Gen, Enc, Dec)$  be a perfectly-secret encryption scheme over a message space  $\mathbf{M}$ , and let  $\mathbf{K}$  be the key space as determined by  $Gen$ . Then  $|\mathbf{K}| \geq |\mathbf{M}|$ .

# Proof

Proof (by contradiction): We show that if  $|K| < |M|$  then the scheme cannot be perfectly secret.

- Assume  $|K| < |M|$ . Consider the uniform distribution over  $M$  and let  $c \in C$ .
- Let  $M(c)$  be the set of all possible messages which are possible decryptions of  $c$ .  
$$M(c) := \{\hat{m} \mid \hat{m} = Dec_k(c) \text{ for some } \hat{k} \in K\}$$

# Proof

$$\mathbf{M}(c) := \{ \hat{m} \mid \hat{m} = Dec_k(c) \text{ for some } \hat{k} \in \mathbf{K} \}$$

- $|\mathbf{M}(c)| \leq |\mathbf{K}|$ . Why?
- Since we assumed  $|\mathbf{K}| < |\mathbf{M}|$ , this means that there is some  $m' \in \mathbf{M}$  such that  $m' \notin \mathbf{M}(c)$ .
- But then
$$\Pr[M = m' \mid C = c] = 0 \neq \Pr[M = m']$$
And so the scheme is not perfectly secret.