

Date due **November 29, 8:00pm.**

Please submit your work as a **single PDF file** to ELMS/Canvas under the Assignments tab

- Papers submitted as multiple pictures of individual pages are difficult for grading and **will not be accepted.**
- Justification of solutions is required.
- Each problem is worth 10 points. A subset of problems will be graded.

**Problem 1.** In this problem you will prove that random concatenated codes of rate  $R(C) \in (0, 1)$  attain the GV bound.

Consider the ensemble  $\mathcal{A}$  of binary concatenated codes with an outer  $RS[N, K, D]$  Reed-Solomon code over  $\mathbb{F}_{2^k}$  and  $[n, k = rn]$  linear inner codes  $B_i, i = 1, \dots, N$  chosen independently from the generator matrix ensemble (HW2). Let  $G = (G_1, \dots, G_N)$  be the  $N$ -tuple of the inner codes' generator matrices of dimension  $k \times n$ . Let  $C \in \mathcal{A}$  be a concatenated code from this ensemble.

(a) Argue that  $P(\dim(C) = kK) \rightarrow 1$  as  $n \rightarrow \infty$ .

(b) Given an RS codeword  $u$ , we map each symbol  $u_i \in \mathbb{F}_{2^k}$  to a binary  $k$ -vector and multiply this vector by  $G_i$  to obtain a codeword of the inner code  $B_i, i = 1, \dots, N$ . This results in a codeword of the code  $C$ , and we use a shorthand notation  $uG$  for this operation. Let  $u$  be an RS codeword of weight  $w$ . Show that for any vector  $y \in \mathbb{F}_2^{nN}$  with  $w$  nonzero columns

$$\Pr(uG = y) = 2^{-nw}.$$

(c) Let  $u$  be an RS codeword,  $\text{wt}(u) = w \geq D$ , and consider the vectors of the code  $C$  of the form  $uG$ . Prove that the expected number  $EA_m(w)$  of vectors of weight  $m = \mu n$  among them is bounded above as

$$EA_m(w) = \binom{nw}{m} 2^{-nw} B_w^{(\text{RS})}$$

where  $B_w^{(\text{RS})}$  is the number of vectors of weight  $w$  in the RS code.

(d) Let  $m = \mu \cdot nN$  and  $w = \omega N$ . Prove that

$$(1) \quad EA_m(w) \leq 2^{Nn[\omega(r-1) + \omega h(\mu/\omega) - r(1-R)](1+o(1))},$$

where  $h(\cdot)$  is the binary entropy function (use the fact that  $B_w^{(\text{RS})} \leq \binom{N}{w} 2^{k(w-D+1)}$  for  $w \geq D$ ).

(e) Which value  $\omega_0$  gives the maximum on  $\omega$  in (1)? Using the computed value of  $\omega_0$ , find the exponent of the expression for  $EA_{\mu nN}(C)$ . Note that our optimization is constrained by the condition  $\omega_0 \leq 1$ , and this gives rise to two cases depending on the relation between  $\mu$  and  $r$ .

(f) Wrap up the argument, showing that the ensemble of concatenated codes contains codes that attain the GV bound for all rates  $R(C) \in (0, 1)$ . The sufficient condition for this will come out to be  $R(C) \leq 1 - h(1 - 2^{r-1})$ ; argue that for any  $0 < R(C) < 1$  there exists the value of  $r$  that satisfies this condition.

You will also note that the vectors of minimum weight in the concatenated codes are obtained from RS codewords of weight  $N$  (and not of weight  $D$  as could be our first guess).

**Problem 2.** In Lecture 20 we argued that a linear code that achieves capacity of a BSC( $p$ ) is equivalent to a procedure of compressing a memoryless Bernoulli source  $X(p)$  to the rate  $h(p)$ . Provide a detailed proof of the equivalence, formalizing the intuition given in the lecture (see notes for Lec. 20 (ECC Lec. 20.pdf) on Canvas).

**Problem 3.** (a) Let  $\beta$  be a primitive element of  $\mathbb{F}_{11}$  and let  $\Omega = \{\beta^i, i = 0, \dots, 9\}$  be the set of nonzero elements of the field. Consider the  $[10, 7]$  RS code with evaluation points given by  $\Omega$  (in this order). A

*transposition error* in a codeword  $x = (x_1, x_2, \dots, x_{10})$  occurs when exactly one pair of coordinates gets interchanged, e.g.,

$$(x_1, x_2, x_3, x_4, \dots, x_{10}) \longrightarrow (x_3, x_2, x_1, x_4, \dots, x_{10}).$$

Prove that the RS code defined above corrects any one transposition error (hint: consider the parity-check equations; write the values of the syndromes in terms of the locations of the transpositions; argue that the locations can be found from these equations).

(b) Does the claim in (a) generalize? Given an RS code of length  $n = q - 1$ , what are the sufficient conditions for the code to correct a single transposition error?

**Problem 4.** (a) Write a program to construct a binary polar code of length  $N = 64$  and dimension  $K = 22$  for the BSC(0.11) channel. As your answer please identify which rows of the matrix  $H_2^{\otimes 6}$  you will use to transmit the information, with a justification.

(b) What are the parameters of the RM(6,2) binary Reed-Muller code? Which rows out of the matrix  $H_2^{\otimes 6}$  does it use to transmit the information? How does your answer in this part compare with the answer in part (a) of this problem?